

# UTILITY PATENT APPLICATION TRANSMITTAL

(For new nonprovisional applications under 37 CFR 1.53 (b))

First Inventor or Application Identifier: Gregory N. Weismant Title: METHOD AND SYSTEM FOR TRANSMITTAL OF EXTENDED DATA ATTRIBUTES FOR PRODUCT ITEMS, PRICING AND TRADE PROMOTION TRANSACTIONS Express Mail Label No. 0304 7470 0003 2575 6372

#### APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents Box Patent Application Washington DC, 20231

Sir

Transmitted herewith for filing under 37 CFR 1.53 (b) is the

- [X] patent application of
- [ ] continuation patent application of
  - divisional patent application of
- continuation-in-part patent application of

Inventor(s) / Applicant Identifier: Gregory N. Weismantel

For: METHOD AND SYSTEM FOR TRANSMITTAL OF EXTENDED DATA ATTRIBUTES FOR PRODUCT ITEMS, PRICING AND TRADE PROMOTION TRANSACTIONS.

#### Enclosed are:

- [X] Fee Transmittal Form for FY 2002 (PTO/SB/17) (Original and duplicate provided)
- [X] 16 page(s) of specification
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
- [X] 2 page(s) of claims
- [X] 1 page of Abstract of the Disclosure
- [X] 12 sheet(s) of [ ] formal [X] informal drawing(s)
- [X] An assignment of the invention to The Vista Technology Group, including Recordation Form Cover Sheet
- [X] A[X] signed [ ] unsigned Declaration
- [X] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [X] is enclosed
- [X] A Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- [X] Information Disclosure Statement under 37 CFR 1.97, including copies of 3 IDS Citations
- A petition to extend time to respond in the parent application
- [ ] Notification of change of [ ] correspondence address filed in prior application [ ] power of attorney
- [X] Return postcard

#### CORRESPONDENCE ADDRESS

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Respectfully Submitted,

Gregory N. Weismantel

Oct 22 2001

Date

The Vista Technology Group, Ltd.

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	410	,	0	C

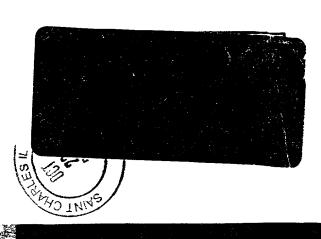
Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Gregory	N. Weismantel		
Examiner Name				
Group Art Unit				
Attorney Docket No.				

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:	Large Small			
Deposit Account	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid		
Number	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	. 50 . 410		
Deposit Account	105 130 205 65 Surcharge - late filing fee or oath			
Name Charge Any Additional Fee Required	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
Check Credit card Money Order Other	113 1,840* 113 1,840* Requesting publication of SIR after			
FEE CALCULATION	Examiner action  115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	F to also for any this accord month			
Large Entity Small Entity	and the state of t			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within fourth month			
101 740 201 370 Utility filing fee 370				
106 330 206 165 Design filing fee				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 370 -	138 1,510 138 1,510 Petition to institute a public use proceeding  140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional			
Fee from	142 1,280 242 640 Utility issue fee (or reissue)			
	143 460 243 230 Design issue fee			
Independent 3 - 3** = 0 X 9 = 0	144 620 244 310 Plant issue fee			
Claims Multiple Dependent = 0	122 130 122 130 Petitions to the Commissioner			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection			
I to the second	(37 ČFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
***************************************	179 740 279 370 Request for Continued Examination (RCE)			
110 18 210 9 *** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 0	Other fee (specify)			
*** number previously paid, if greater: For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	40-		

SUBMITTED BY			Complete (ri	Complete (if applicable)	
Name (Print/Type)	Monica Decker	Registration No. (Attorney/Agent)	Telephone	630-524-5216	
Signature	Wom Julin		Date	10-22-01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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## **DELIVERY CONFIRMATION**



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February 1994

EP-14 JANUARY 1998 @ 1995 USPS

### **CERTIFICATE OF MAILING**

I hereby certify that the items of correspondence as listed below are being deposited via USPS Priority Mail with postage fees paid by applicant, in one envelope addressed to:

Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231

on October 22, 2001. (Date)

METHOD AND SYSTEM FOR TRANSMITTAL OF EXTENDED DATA ATTRIBUTES FOR PRODUCT ITEMS, PRICING AND TRADE PROMOTION TRANSACTIONS

- Return postcard, postage prepaid
- Utility Patent Application Transmittal
- Fee Transmittal Form PTO/SB/17 and payment check
- Declaration for Utility or Design Patent Application
- Statement Claiming Small Entity Status-Small Business Concern
- Nonpublication request
- Recordation Form Cover Sheet Patents Only attaching Assignment of Patent Application
- Patent Application Specification
- Patent Drawings
- Information Disclosure Statement by Applicant, including copies of 3 IDS Citations

(Signature)

Monica Decker

(Typed or Printed name of person signing Certificate)

NONPUBLICATION REQUEST

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date

Signature

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**